

10/802314

FORM PTO-1449 (Modified) Page 1 of 4				Docket No.: ECV-5532 con		Serial No.: Unknown	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT				Applicant: Van Le Huynh, Michael J. Scott, Derrick Johnson			
				Filing Date: Herewith		Art Unit: 3738	
UNITED STATES PATENT DOCUMENTS							
*Ex's. Inits.	Ref.	Patent No.	Date	Name	Class	Sub	Filing Date (if applicable)
Bp ↑	AA	4,197,593	4/15/80	Kaster et al.	←	—	
	AB	4,345,340	8/24/82	Rosen	—	—	
	AC	4,535,483	8/20/85	Klawitter et al.	—	—	
	AD	4,680,031	7/14/87	Alonso	—	—	
	AE	4,705,516	11/10/87	Barone et al.	—	—	
	AF	4,816,029	3/28/89	Penny, III et al.	—	—	
	AG	4,863,460	9/5/89	Magladry	—	—	
	AH	4,865,600	9/12/89	Carpentier et al.	—	—	
	AI	4,865,870	9/12/89	Hu et al.	—	—	
	AJ	4,892,540	1/9/90	Vallana	—	—	
	AK	5,035,709	7/30/91	Wieting et al.	—	—	
	AL	5,071,431	12/10/91	Sauter et al.	—	—	
	AM	5,072,431	12/10/91	Ohmori et al.	—	—	
	AN	5,104,406	4/14/92	Curcio et al.	—	—	
	AO	5,147,391	9/15/92	Lane	—	—	
	AP	5,178,633	1/12/93	Peters	—	—	
	AQ	5,243,330	9/7/93	Thuillard	—	—	
	AR	5,258,023	11/2/93	Reger	—	—	
	AS	5,354,330	10/11/94	Hanson et al.	—	—	
	AT	5,360,014	11/1/94	Sauter et al.	—	—	
AU	5,370,684	12/6/94	Vallana et al.	—	—		
AV	5,397,346	3/14/95	Walker et al.	—	—		
AW	5,397,348	3/14/95	Campbell et al.	—	—		
AX	5,480,425	1/2/96	Ogilvie	—	—		
AY	5,487,760	1/30/96	Villafana	—	—		
AZ	5,607,470	3/4/97	Milo	—	—		
↓ Bp	BA	5,755,783	5/26/98	Stobie et al.	—	—	
	BB	5,766,240	6/16/98	Johnson	—	—	

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FORM PTO-1449 continued			Docket No.: ECV-5539CON		Serial No. unknown		Page 2 of 4	
UNITED STATES PATENT DOCUMENTS								
<i>BP</i>	BC	5,855,603	1/5/99	Reif	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
<i>BP</i>	BD	5,876,436	3/2/99	Vanney et al.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
<i>BP</i>	BE	5,928,281	7/27/99	Huynh et al.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
<i>BP</i>	BF	6,045,576	4/4/00	Starr et al.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
FOREIGN PATENT DOCUMENTS								
Exr's. Init.	Ref	Document No.	Date	Country	Class	Sub	Translation? Yes No	
<i>BP</i> ↑	BG	WO 82/02829	9/2/82	WIPO	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	X	
	BH	WO 92/19184	11/12/92	WIPO	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	X	
	BI	WO 93/20781	10/28/93	WIPO	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	X	
	BJ	WO 94/01060	1/20/94	Abstract	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
	BK	WO 95/16408	6/22/95	WIPO	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	X	
	BL	WO 95/28899	11/2/95	WIPO	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	X	
	BM	EP 0 070 259	6/1/82	Europe	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	X	
	BN	EP 0 075 530B1	9/1/82	Europe	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	X	
	BO	EP 0 119 357	12/20/83	Europe	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	X	
	BP	EP 0 200 926	4/3/86	Europe	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	X	
↓ <i>BP</i>	BQ	EP 0 350 302	7/6/89	Europe	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	X	
<i>BP</i>	BR	EP 0 541 215	5/12/93	Europe	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	X	
OTHER REFERENCES (Including Author, Date, Title, Pertinent Pages, Etc.)								
Exr's. Inits.	Ref.	Bibliographic Data						
<i>BP</i>		Carpentier, "Implant Technique for the Aortic Carpentier-Edwards SAV Bioprosthesis" Chief, Department of Cardiovascular Surgery Hopital Broussais Paris, France						
<i>BP</i>		"Judge Our Pericardial Valve by Its Appearance and You Will Only Get Half the Picture" Baxter						
Examiner					Date Considered			
<small>* Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. §609. Draw line through citation (i.e., citation) if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>								

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	Unknown 10/802 314
				Filing Date	Herewith
				First Named Inventor	Van Le Huynh, et al.
				Art Unit	3738
Examiner Name	Pellegrino				
Attorney Docket Number	ECV-5539CON				
Sheet	3	of	4		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
BP	AA	US-3,781,969	01/01/1974	Anderson	
BP	AB	US-3,839,741	10/08/1974	Haller	
BP	AC	US-4,084,268	04/18/1978	Ionescu et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office Code ³	Number ⁴	Kind Code ⁵ (if known)				
BP	AD	WO	01/12105	A1	02/22/2001			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶

Examiner Signature	Brian Pellegrino	Date Considered	6/15/07
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)				Docket No.: ECV-5539 CON		Serial No. Unknown 12/2003/4	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT				Applicant: Michael J. Scott, et al.			
				Filing Date: Herewith		Art Unit: 3738	
UNITED STATES PATENT DOCUMENTS							
*Exr's. Inits.	Ref.	Patent No.	Date	Name	Class	Sub	Filing Date (if applicable)
BP ↑ ↓ BP	A	5,571,175	11/5/96	Vanney et al.			
	B	5,824,069	10/20/98	Lemole			
	C	5,843,179	12/1/98	Vanney et al.			
		4,605,407	08/12/86	Black et al.			
		4,626,255	12/02/86	Reichart et al.			
		4,477,930	10/23/84	Totten et al.			
FOREIGN PATENT DOCUMENTS							
Exr's. Init.	Ref	Document No.	Date	Country	Class	Sub	Translation? Yes No
Examiner <i>Brian Pellegrino</i>				Date Considered <i>6/15/07</i>			
*Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P.							

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